# UNITED STATES DEPARTMENT OF JUSTICE WASHINGTON, D.C. 20530

# SUPPLEMENTAL STATEMENT ·

Revised October 1976

Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as Amended

| For Six Month Period E   |                         |                        |                  |
|--|-------------------------|------------------------|------------------|
|  | nding 5 J               | (Insert date)          |                  |
| Name of Registrant   |                         | Registration No.       |                  |
| Charles von Loewenfeldt, Inc.  |                         | •                      | 810              |
| Business Address of Registrant   |                         |                        | 020              |
| 1333 Gough Street, #6D<br>San Francisco, CA 94109  |                         |                        |                  |
| to the same of the | REGISTRANI              |                        |                  |
| 1. Has there been a change in the information pre  | viously furni           | shed in connection wit | h the following: |
| (a) If an individual:  |                         |                        |                  |
| (1) Residence address  | Yes [                   | No XX                  |                  |
| (2) Citizenship  | Yes 🔲                   | No XX                  |                  |
| (3) Occupation   | Yes                     | No XX                  | -                |
| (b) If an organization:  |                         |                        |                  |
| (1) Name   | Yes 🗍                   | No IXX                 |                  |
|  | Yes 🔲                   | No XX                  |                  |
| (3) Branch offices   | Yes 🗌                   | No 💢                   |                  |
| 2. Explain fully all changes, if any, indicated in l   | tem 1.                  |                        |                  |
|  | N.A.                    |                        |                  |
|  |                         |                        |                  |
|  |                         |                        |                  |
| IF THE REGISTRANT IS AN INDIVID  | UAL, OMIT I             | RESPONSE TO ITEMS      | 3, 4, and 5.     |
| 3. Have any persons ceased acting as partners, of  | ficers, di <u>rec</u> t |                        |                  |
| this 6 month reporting period? Yes   | No XX                   |                        |                  |
| If yes, furnish the following information:   |                         |                        |                  |
| Name   | Position                |                        | Date Connection  |
|  |                         |                        | Ended            |

| If yes, furnish th   | e following informati  | on:   |   |                  |                                      |
|--|--|---|---|------------------|--------------------------------------|
| Name   | Residence<br>Address   |   | Citizenship   | Position         | Date<br>Assumed                      |
|  |  |   |   |                  |                                      |
|  | named in Item 4 rende<br>(es \( \) No \( \)  | ered services directly  | in furtherance  | of the interests | of any foreign                       |
| If yes, identify e   | ach such person and  | describe his services   | •   |                  |                                      |
|  |  |   | N.A   | •                |                                      |
| terminated their   |  | ther than officials, who  |   |                  |                                      |
| If yes, furnish th   | ne following informati   | ion:  |   |                  |                                      |
| Name   | I  | Position or connection  |   |                  | Date terminate                       |
|  |  |   |   |                  |                                      |
|  |  |   |   |                  |                                      |
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|  | . >  |   |   |                  |                                      |
| the registrant when principal in other   | no rendered services   | have any persons beer<br>to the registrant direc<br>secretarial, or in a rela | tly in furtherance                                      | e of the interes | ther capacity by                     |
| the registrant when principal in other Yes N   | no rendered services<br>r than a clerical or s   | to the registrant directecretarial, or in a rela                              | tly in furtherance                                      | e of the interes | ther capacity by                     |
| the registrant when principal in other Yes North | no rendered services or than a clerical or so than a clerical or so that the following informat residence  | to the registrant directecretarial, or in a rela                              | tly in furtherance<br>ated or similar ca<br>Position or | e of the interes | ts of any foreign<br>Date connection |
| the registrant when principal in other Yes N   | no rendered services or than a clerical or so the control of the c | to the registrant directecretarial, or in a rela                              | tly in furtherance<br>ated or similar ca                | e of the interes | ts of any foreig                     |
| the registrant when principal in other Yes North | no rendered services or than a clerical or so than a clerical or so that the following informat residence  | to the registrant directecretarial, or in a rela                              | tly in furtherance<br>ated or similar ca<br>Position or | e of the interes | ts of any foreig<br>Date connectio   |
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| the registrant when principal in other Yes North | no rendered services or than a clerical or so than a clerical or so that the following informat residence  | to the registrant directecretarial, or in a rela                              | tly in furtherance<br>ated or similar ca<br>Position or | e of the interes | ts of any foreig<br>Date connectio   |

### II - FOREIGN PRINCIPAL

| If yes, fo   | urnish the following information:   |
|--|---|
| Name of  | foreign principal Date of Termination   |
|  |   |
| Have you   | acquired any new foreign principal during this 6 month reporting period? Yes No XX  |
| If yes, fo   | urnish following information:   |
| Name an  | d address of foreign principal Date acquired  |
| •  |   |
| Gove   | n Trade Center (JETRO) of San Francisco<br>rnment of Japan: a) through Consulate General of Japan, San :<br>b) through U.SJapan Trade Council. Inc.   |
| Gove   | rnment of Japan: a) through Consulate General of Japan, San : b) through U.SJapan Trade Council, Inc.   |
| Gove   | through Consulate General of Japan, San ; b) through U.SJapan Trade Council, Inc.  III-ACTIVITIES   |
| Gover  | rnment of Japan: a) through Consulate General of Japan, San : b) through U.SJapan Trade Council, Inc.   |
| Gover  | consent of Japan: a) through Consulate General of Japan, San b) through U.SJapan Trade Council, Inc.  III-ACTIVITIES  mis 6 month reporting period, have you engaged in any activities for or rendered any services to  |
| During the any forei   | through Consulate General of Japan, San b) through U.SJapan Trade Council, Inc.  III-ACTIVITIES  his 6 month reporting period, have you engaged in any activities for or rendered any services to any principal named in Items 8,9, and 10 of this statement? Yes XX No   |
| During the any force of the second of the se | through U.SJapan Trade Council, Inc.  III-ACTIVITIES  Also be month reporting period, have you engaged in any activities for or rendered any services to again principal named in Items 8,9, and 10 of this statement? Yes XX No  dentify each such foreign principal and describe in full detail your activities and services:  For activities and services rendered to Japanese Government, |
| During the any forei   | through U.SJapan Trade Council, Inc.  III-ACTIVITIES  Also be month reporting period, have you engaged in any activities for or rendered any services to again principal named in Items 8,9, and 10 of this statement? Yes XX No  dentify each such foreign principal and describe in full detail your activities and services:  For activities and services rendered to Japanese Government, |
| During the any forei   | b) through U.SJapan Trade Council, Inc.  III-ACTIVITES  Activities for or rendered any services to general named in Items 8,9, and 10 of this statement? Yes XX No  dentify each such foreign principal and describe in full detail your activities and services:  For activities and services rendered to Japanese Government, see attached addendum.  |
| During the any force of the second of the se | through U.SJapan Trade Council, Inc.  III-ACTIVITIES  Also be month reporting period, have you engaged in any activities for or rendered any services to again principal named in Items 8,9, and 10 of this statement? Yes XX No  dentify each such foreign principal and describe in full detail your activities and services:  For activities and services rendered to Japanese Government, |

The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

| 12. | Dugia            | ng this 6 m | nonth report | ing period, have | you or | behalf of any foreign | principal | engaged in | n political a | ctiv- |
|-----|------------------|-------------|--------------|------------------|--------|-----------------------|-----------|------------|---------------|-------|
|     | ity <sup>2</sup> | as defined  | below?       |                  | •      | , ,                   | • •       | 0 0        |               | • - • |

Yes XX No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

#### Japanese Government

The answer to Item 11 has listed all of the activities of the registrant during the period in question. Some, but not all, of these activities constitute "political propaganda" within the meaning of the Act.

Yes XX No

If yes, describe fully.

During the period in question, Charles von Loewenfeldt personally served as vice president of the California Council for International Trade. The purpose of the CCIT is to "promote the growth of world trade by gathering and disseminating pertinent information; bringing together business leaders of foreign nations with those of California; encouraging firms not yet engaged in foreign trade to enter it; creating a favorable trade climate that will foster development of more industry and new business in California; and working towards elimination of trade barriers." Also, the secretariat for the CCIT was located within the office premises of Charles von Loewenfeldt, Inc. CCIT was, in other words, a separate client of Charles von Loewenfeldt, Inc. distinct add apart from all other CvL, Inc. clients.

In the sense that CCIT espouses and works to encourage "free trade" for the benefit of Californians, its interests parallel, in some instances, those of Japan and of the other major nations that trade with the U.S.

<sup>13.</sup> In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

<sup>&</sup>lt;sup>2</sup> The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

| 14. | (a) | <b>RECEIPTS</b> - | MONIES |
|-----|-----|-------------------|--------|
|-----|-----|-------------------|--------|

14.

| 4. (a, | RECEIPTS - MONI  | <u>ES</u>  |  |  |
|--------|--|--|--|--|
|        | and to of this Stafe   | n reporting period, have you re<br>ement, or from any other sourc<br>income or money either as cor | e, for or in the interests of as                           | cipal named in Items 8,9<br>ny such foreign principal, |
|        | If yes, set forth belouch monies. 3                            | ow in the required detail and  | separately for each foreign                                | principal an account of                                |
|        | Date   | From Whom  | Purpose  | Amount   |
|        |  | Pan sahaubad   |  |  |
|        | •  | See attached.  |  |  |
|        |  |  |  |  |
|        |  |  |  |  |
|        |  |  |  |  |
|        |  |  |  |  |
|        |  |  |  |  |
|        |  |  |  | Total  |
|        |  |  |  | Total  |
| (p) Î  | RECEIPTS - THINGS  | OF VALUE   |  |  |
| i      | Ouring this 6 month roreign principal nam nterests of any such | eporting period, have you rece<br>ed in Items 8, 9 and 10 of this<br>foreign principal?            | ived any thing of value other statement, or from any other | r than money from any<br>r source, for or in the       |
|        | f yes, furnish the fol   | X<br>lowing information:   |  |  |
|        |  |  |  |  |
| fo     | Name of<br>oreign principal                                    | Date<br>received   | Description of<br>thing of value                           | Purpose  |

et lat

A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

4 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

|         |                          |   | -6-   |                             |  |  |
|---------|--------------------------|---|---|-----------------------------|--|--|
| 15. (a) | ) <u>DISBURSEMENTS</u> - | MONIES  |   |                             |  |  |
|         | (1) disbursed or exp     | reporting period, have y<br>ended monies in connec<br>i 10 of this statement?   | ou<br>tion with activity on behalf of<br>Yes No | any foreign principal named |  |  |
|         | (2) transmitted moni     | es to any such foreign p  | rincipal? Yes 🗌 No                              |                             |  |  |
|         |                          | If yes, set forth below in the required detail and separately for each foreign principal an account of monies, including monies transmitted, if any, to each foreign principal. |   |                             |  |  |
|         | Date                     | To Whom   | Purpose   | Amount                      |  |  |
|         |                          |   | esa.  |                             |  |  |
|         |                          | Sea attacha   |   |                             |  |  |
|         |                          | bea attache   | ч.  |                             |  |  |
|         |                          |   |   |                             |  |  |
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|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   | •                           |  |  |
|         |                          |   |   |                             |  |  |
|         | ·                        | •   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          | :   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |
|         |                          |   |   |                             |  |  |

Total

| 15. (b)  | DISBURSEME   | NTS - THINGS OF VAI  | LUE   |  |   |
|--|--|--|---|--|---|
|  | During this 6 furtherance or and 10 of this  | for in connection with   | , have you disposed<br>activities on behalf   | d of anything of val<br>of any foreign princ | ue <sup>5</sup> other than money in<br>cipal named in items 8, 9  |
|  | Yes 📋  | No XX  | •   |  |   |
|  | If yes, furnish  | n the following informat   | tion:   |  |   |
|  | Date<br>disposed   | Name of person<br>to whom given  | On bebalf of<br>what foreign<br>principal   | Description<br>of thing of<br>value          | Purpose   |
| (c)  | DISBURSEME   | NTS - POLITICAL CO   | NTRIBUTIONS   |  |   |
|  | connection wi  | ough any other person,   | made any contribut<br>olitical office, or in  | ions of money or ot<br>connection with an    | your own behalf either<br>her things of value <sup>5</sup> in<br>y primary election, con-<br>No <b>XX</b>   |
|  | If yes, furnish  | the following informat   | ion:  | •  |   |
|  | Date   | Amount or thing of value   | Þ   | lame of<br>olitical<br>anization             | Name of<br>candidate  |
|  |  |  |   |  |   |
|  |  | y - POL  | ITICAL PROPAGA  | NDA  |   |
| the pers<br>induce,<br>reference<br>foreign<br>States re<br>racial, selence in | I, or other comeon dissemination in any other te to the politic political party acial, religious social, political any other Ame | munication or expression of the same believes of way influence a recipional or public interests, or with reference to the solution, or social dissensions l, or religious disorder | on by any person (1) will, or which he in ent or any section policies, or relation force foreign policies of s, or (2) which advot, civil riot, or other verthrow of any govern | emment or political se                       | isual, graphic, written, oly adapted to, or which on, indoctrinate, convert the United States with of a foreign country or a or promote in the United gates, or promotes any the use of force or vioubdivision of any other |
| 16. Duri<br>ical   | ing this 6 mont<br>propaganda as   | h reporting period, did y<br>defined above? Y  | you prepare, dissem<br>es XX No   | inate or cause to be                         | disseminated any polit-   |
| 1F Y   | ZES, RESPONI   | TO THE REMAINING   | ITEMS IN THIS SE  | CTION V.                                     |   |
|  |  | foreign principal.<br>Government, th   | cough the U.  | SJapan Trac                                  | de Council  |

<sup>5</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

|         | fied sum of money to finar<br>Yes XX No                  | nce your activities in prepa   | ring or disseminating p         | budget or allocated a speci-<br>olitical propaganda? |
|---------|--|--|---------------------------------|--|
|         | If yes, identify each such                               | foreign principal, specify a   | amount, and indicate for        | r what period of time.                               |
|         |  | vernment, approx   |                                 |  |
|         | six-mon  | th period.   | zmacciy. Y7 3000                | Tor the  |
|         |  | $(\mathcal{S}_{i,j}, \mathcal{S}_{i,j}) = (\mathcal{F}_{i,j}, \mathcal{S}_{i,j}, \mathcal{S}_{i,j})$ |                                 |  |
| 19      | During this 6  |  |                                 |  |
| •/•     | or political propage                                     | Magazine or newspap  | Of the following.               | ninating or causing the disem                        |
|         | Advertising campaigns                                    | articles  XXPress releases   | X Pamphlets or oth publications |  |
|         | Other (specify)  |  |                                 | •  |
| 20.     |  | ng period, did you dissemir<br>lowing groups:  | nate or cause to be di          | sseminated political propa-                          |
|         | Public Officials   | X Newspapers   | 1                               | Libraries  |
|         | Legislators  | 🔀 Editors  | . [                             | Educational institutions                             |
|         | Government agencies                                      | Civic groups or  | associations [                  | Nationality groups                                   |
|         | X Other (Specify) Bus                                    | inessmen   |                                 |  |
| 21.     | What language was used in                                | this political propaganda:   |                                 |  |
|         | X English  | Other (specify)  |                                 |  |
| 22.     | Did you file with the Registaganda material disseminate  | tration Section, Department  | of Justice, two copies          | of each item of political prop-                      |
|         | Yes XX No  | and the second to be dissemit  | rated during this o mon         | th reporting period?                                 |
| 23.     | Did you label each item of sthe Act? Yes XX              | such political propaganda m<br>No 🏻  | naterial with the stateme       | nt required by Section 4(b) of                       |
| 24.     | Did you file with the Regist                             | ration Section, Department   | of Justice, a Dissemin          | ation Report for each item of                        |
|         | Forman propaganda ni                                     | aterial as required by Rule  | 401 under the Act?              | and report for each frem of                          |
| · · · · | Yes 🗱 No 🗌   |  |                                 |  |
|         |  | VI - EXHIBITS AND AT   | TACHMENTS                       |  |
| 25 1    | EXHIBITS A AND B   |  |                                 |  |
| (       | a) Have you filed for each                               | of the newly acquired foreig   | on principals in Irom 0         | sha fallania.  |
|         | Exhibit A <sup>6</sup> Yes                               | No []  | 5. Principals in Itelli 9       | me tottowing:  |
|         | Exhibit B <sup>7</sup> Yes                               | No No N  | .A.                             |  |
|         | If no, please attach the r                               |  |                                 |  |
| (       | a) Have there been any char<br>you represented during th | nges in the Exhibits A and   | B previously filed for a        | any foreign principal whom                           |
|         | Yes No XX  | · t-mai  |                                 |  |
|         |  | amondu and all the   | re) Van 🗀                       | N [ ]  |
|         | ) ; wate you trick all                                   | AMCHOMENT TO THESE EXPIRE  |                                 |  |
|         | If no, please attach the r                               | amendment to these exhibit<br>equired amendment.   | ts? Yes                         | No [   |

concerning each foreign principal.

7 The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

| 26.                      | EXHIBIT C  |  |
|--------------------------|--|--|
|                          | If you have previously filed an Exhibit C <sup>8</sup> , state wheth 6 month reporting period.  Yes No XX  | ner any changes therein have occurred during this  |
|                          | If yes, have you filed an amendment to the Exhibit C?  | Yes No   |
|                          | If no, please attach the required amendment,   |  |
| 27.                      | SHORT FORM REGISTRATION STATEMENT  |  |
|                          | Have short form registration statements, been filed by supplemental statement?  Yes No No  If no, list names of persons who have not filed the requ  | A.   |
|                          |  |  |
| cept<br>in a             | The undersigned swear(s) or affirm(s) that he has (they ation statement and the attached exhibits and that he is such contents are in their entirety true and accurate to that the undersigned make(s) no representation as to the trached Short Form Registration Statement, if any, insoft their) personal knowledge.        | (they are) familiar with the contents thereof and<br>the best of his (their) knowledge and belief, ex- |
| oaths<br>jority<br>simil | Both copies of this statement shall be signed and sworn to e a notary public or other person authorized to administer by the agent, if the registrant is an individual, or by a major of those partners, officers, directors or persons performing ar functions who are in the United States, if the registrant organization.) | Charles von Loewenfeld Presiden  Lough Brossy Curies   |
|                          |  | Susan Brossy Crosier<br>Executive Vice President   |
| :                        | Subscribed and sworn to before me atSau ]  | rancisco   |
| this _                   | OFFICIAL SEAL RUTH NATUSCH NOTARY PUBLIC - CALIFORNIA City and County of SAN FRANCISCO   | Luth Naturch (Signature of notary or other officer)  |
|                          | My Commission Expires Jan. 3, 1979   | Communication of nothing of other officer)   |

<sup>&</sup>lt;sup>8</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

ADDENDUM

## Answers to Questions 11 and 12

For the Japanese Government through the United States-Japan Trade Council:

Explanation of Prefaces. The following items in answer to questions 11 and 12 are accompanied by a series of prefaces designed to explain the context in which the activities reported were carried out in this area. Some of these prefaces are designed to throw light on the actual relationship which exists between the registrant, the U.S.-Japan Trade Council and the Government of Japan, under which the Council acts as the agent of the Government of Japan, which supplies almost all of its funds and exercises general supervision and ultimate control over its activities. Other prefaces report activities not previously reported which throw light on the actual relationship between the registrant, the Council and the Japanese Government.

#### 1. Publications

<u>Preface</u>: Acting as West Coast office of the Council, the registrant distributed, from time to time, Council publications which included pamphlets on certain topics. Such publications are sent free of charge to a mailing list composed of members of Congress, members of the state legislatures, chambers of commerce, labor unions, newspapers, business firms, trade associations, economists and other interested individuals. These documents are often designed to present to the recipients the Japanese viewpoint on the subjects dealt with.

This material was "labeled" as material put out by an agent of a foreign principal. The "label" identified the Council as a trade association of over 700 firms in the United States and the foreign principal as the Japan Trade Promotion Office. In fact, the recipients of these pamphlets were not informed that the Council from its inception in 1957 has acted as an agent of the Japanese Government, and has never been nor is it now a trade association, nor has it been governed by its members. The members of the Council were not members as such, but merely subscribers to its publications, and have had no voice in its operation. The Council receives almost all of its funds from the Japanese Government, which exercise general supervision and has ultimate control over its activities. In addition, the Japan Trade Promotion Office served no purpose other than to transmit funds between the Embassy of Japan and Council so as to obscure the connection between the Government of Japan and the Council.

# Publications Distributed

Some Questions and Answers on U.S.-Japan Trade Relations and Japanese International Economic Policies

A pamphlet discussing in question and answer form key current trade and economic issues between Japan and the United States.

# U.S.-Japan Agricultural Trade Newsletter, Spring 1971

The semiannual issue of the Council's agricultural newsletter, circulated to 10,000 individuals and organizations in the U.S. farm community.

United States Exports to Japan by Customs District of Shipment, 1970

The Council's annual presentation of statistical data on exports by customs district.

United State Imports from Japan by Customs District of Entry, 1970

The Council's annual presentation of statistical data on imports by customs district.

#### 2. Conferences

Preface: Periodically the registrant initiated and organized in behalf of the United States-Japan Trade Council conferences and symposia for the purpose of presenting the views of the Japanese Government on given subjects affecting that Government's relationship with the United States. These events included discussions of how state and local organizations could expand their business activities with Japan. These meetings frequently involved speeches by high officials of the Japanese Government. These meetings were nominally co-sponsored by other domestic organizations who bore no financial responsibility for the events but who participated in the planning, organizing and encouraging attendance at the conferences and symposia. In many cases, speakers included local business and governmental leaders. The co-sponsors and other outside participants, as well as the general public, were not informed that the Council represented the Japanese Government. The monies expended for these conferences emanated from the Japanese Government, which funds were then conveyed through the Japan Trade Promotion Office, from where it was transmitted to the Council and then ultimately to the registrant.

On March 15, 1971, the registrant organized a symposim in San Francisco, California, entitled "The Opening Door." It dealt with Japan's trade, and capital liberalization and the potential expansion of Japan's markets for American products. The nominal co-sponsors were the California Council for International Trade, the Japanese Chamber of Commerce of Northern California, the Japan Society of San Francisco, the Oakland World Trade Club, the World Affairs Council of Northern California, the World Trade Association of the Greater San Francisco Chamber of Commerce, the World Trade Club of San Francisco, and the World Trade Council of the West. The United States-Japan Trade Council was listed in alphabetical order as one of the ten co-sponsors. The speakers included the Japanese Ambassador to the United States and Council officials who were afforded a forum to present the Japanese viewpoint on various trade issues. Approximately \$5,500 was expended in presenting the above symposium.

On March 22 the registrant submitted a proposal for a series of similar conferences in six medium-sized cities throughout the United States. Each of these conferences would be participated in by a team of two American and two Japanese trade authorities, but without the presence of the Ambassador. The proposal was passed on to the Japanese Government during March for consideration. In the meanwhile, within a week after the San Francisco conference, advance planning began for a similar conference in Houston around the end of May, again with the Ambassador as the principal attraction.

On May 25, the registrant organized a symposium in Houston, Texas, entitled "The Opening Door." It dealt with Japan's trade and capital liberalization and the potential expansion of Japan's markets for American products. The nominal sponsor was the World Trade Club of Houston, stated as acting in cooperation with 14 other organizations: The Houston Chamber of Commerce, The Houston Committee on Foreign Relations, the Houston Council on World Affairs, The Houston Junior Chamber of Commerce, The Institute of International Education, The International Trade Division of The Propeller Club of Houston, The Texas International Trade Association, The Japan Trade Council, and The World Trade Center of Houston. The speakers included the Japanese Ambassador to the United States and Council officials who were afforded a forum to present the Japanese viewpoint on various trade issues. Approximately \$6,000 was expended in presenting the above symposium.

#### 3. Films

<u>Preface</u>: During the period in which amended supplementary registration statements are to be filed, the registrant in the name of the Council prepared and distributed film clips, film strips and documentary films to TV stations and schools on various aspects of Japanese life, for the purpose of improving the Japanese image in the United States.

These film clips were designed to influence sections of the public within the United States in a manner favorable to the interests of the Government of Japan and hence qualify as "political propaganda" under the definition contained in Section 1 (j) of the Act. This material was therefore "labeled" as material put out by an agent of a foreign principal. The label identified the Council as a trade association of over 700 firms in the United States and the foreign principal as the Japan Trade Promotion Office. The TV stations and schools were thus not informed that, in fact, the Council from its inception in 1957 has acted as an agent of the Japanese Government and has never been nor is it now a trade association, nor has it been governed by its members. The members of the Council were not members as such, but merely subscribers to its publications, and have had no voice in its operation. The Council receives almost all of its funds from the Japanese Government which exercies general supervision and has ultimate control over its activities. In addition, the Japan Trade Promotion Office served no purpose other than to transmit funds between the Embassy of Japan and Council so as to obscure the connection between the Government of Japan and the Council.

No material in this category was prepared or disseminated during the reporting period covered by this amended supplemental statement.

# 4. Advice to Japanese Government

Preface: From time to time the Council as well as the registrant gave advice to officials of the Japanese Government on U.S. political attitudes and prospects, particularly in the field of U.S.-Japan economic relations. The purpose of this advice was to assist the Japanese Government in making policy decisions in this field in the light of the political realities in the United States. This activity was not previously reported by the Council or the registrant and the light which it throws on the relationship between the registrant, the Council and the Japanese Government has not previously been disclosed.

On February 25 the Council held a meeting at its headquarters of certain American economic public relations firms working in Japan's interests. Those in attendance were Philip Van Slyck representing the New York Consulate General and Japan Information Service; Norman Weisman of Ruder & Finn representing JETRO; Charles von Loewenfeldt and Scott Runkle representing the Council; Embassy officials and Council staff. (At the last moment Van Slyck became ill and could not attend.) The purpose of the conference was to exchange information about each other's activities and views about the most useful themes to promote Japan's image. Similar meetings had been held on a regular basis until a few years ago, but this was the first such meeting in at least two years. It is intended to resume a regular annual exchange of views on public relations activities.

At the request of the Japanese Government, a meeting was held on June 23 of Japanese Government officials and American agents and advisors at the Shoreham Hotel in Washington, D.C. In attendance were officials from the Japanese Ministry of FOreign Affairs, Ministry of International Trade and Industry, (both groups coming from Tokyo), the Japanese Ambassador to the United States and his staff and Japanese Consuls General from ten American cities, or approximately twenty-two officials representing the Government of Japan. The Council was represented by Messrs. Stitt, Hemmendinger, and Taylor. The registrant was in attendance together with other agents who included Donald Lerch, Scott Runkle and Philip Van Slyck. The purpose of the meeting was to discuss current Japanese-American relations and to chart a course of future activities for the agents and advisors in this country. There was a general agreement that Japan had an image problem in the United States and that a greater public relations effort was needed in that connection. Mr. Stitt pointed out how the Council had concentrated in influencing the media and organizing public events at which the Japanese viewpoint was persuasively presented. The registrant made recommendations for what he considered to be desirable public relations activities.

# 5. Travel to Japan

Preface: The consent decree in Civil Action No. 76-1260, U.S. District Court for District of Columbia requires the submission of the names of Congressional staff members, other governmental officials, and those in the news media (and their affiliations), who traveled to Japan through Council arrangements at no expense to themselves. The role of the Council in this regard was to suggest names of persons in these categories to the Japanese Government, through the Embassy. Arrangements for transportation and accommodation were worked out directly between the Japanese Government and the persons involved. The purpose of arranging these trips was to obtain a favorable impression of Japan in the minds of influential persons and thus foster the image of Japan in the United States. This activity has not been previously reported by the Council or the registrant. The registrant, in some instances, assisted in this activity by coordinating travel logistics.

There was no activity in this category during this period. Subsequent reports include those trips where the Council is aware that all expenses were paid by the Japanese Government.

# For the Japanese Government through the Consulate General of Japan in San Francisco

## 1. Community relations

Arranged joint concert in San Francisco of Japanese Navy Band (from visiting flotilla) with 12th Naval District Band.

#### 2. Research

(conducted at the request of the Consulate General)

- (a) Seismographic research and engineering in Northern California
- (b) Rules and Regulations Governing the Bar Pilot
- (c) Details of California "Buy American Act" which was declared unconstitutional in 1969
- (d) Reaction of Bay Area Businessmen to the announcement of the Approved List of Commodities for Export to the People's Republic of China
- (e) Survey of local businessmen's reaction to a TIME article entitled "How to Cope with Japan's Business Invasion."
- (f) Analysis of current and proposed California legislation regarding sexual offenses
- (g) Analysis of election of Warren Widener as Mayor of Berkeley
- (h) Status of Senate Bill 834 (International Trade Act of 1971)
- (i) California vehicle emission standards
- (j) California Rural Legal Assistance and the OEO
- (k) Air pollution control regulations

# 3. Assistance with speeches and letters in English

- (a) Notes for a discussion of Japan-China relations held with a local U.S..Naval Reserve Political-Military unit.
- (b) Notes on Anti-American feelings in Japan and the need to combat them.
- (c) Draft of letter outlining current problems in U.S.-Japan trade, covering textiles, autos and the trade imbalance.
- (d) Letter from Consul General to editor of Sunday Examiner and Chronicle commenting on an article on "Japan: Superpower, Superproblems."

### 4. Staff conferences

During this period about six discussion meetings were held with staff of the Consulate General. Matters covered included conduct of relations with various segments of the community and participation in various local happenings.

# 14 (a) Receipts - Monies

| Date    | F              | rom W                   | <u>Thom</u>                                | <u>Purpo</u><br><u>Fees</u> | se & Amount<br>Production | <u>Total</u> |
|---------|----------------|-------------------------|--|-----------------------------|---------------------------|--------------|
| 1/29/71 | throu<br>Promo | igh Ja<br>tion<br>Japan | of Japan<br>pan Trade<br>Office &<br>Trade | \$ 500.00                   | \$ 157 <b>.</b> 02        |              |
| 2/19/71 | 11             | 11                      | 11   |                             | 292.00                    |              |
| 3/1/71  | 11             | 11                      | fi   | 500.00                      | 47.13                     |              |
| 3/22/71 | ti             | 11                      | 11   | 3,000.00                    | 4,654.61                  |              |
| 3/24/71 | 11             | 11                      | 11   | 500.00                      | 35.28                     |              |
| 4/27/71 | H              | 11                      | II.  | 500.00                      | 1,022.96                  |              |
| 5/27/71 | 11             | П                       | tt   | 500.00                      | 178.65                    |              |
| 6/7/71  | 11             | 11                      | fl   | 2,500.00                    | 3,931.78                  |              |
| 6/28/71 | п              | 11                      | 11   | 500.00                      | 26.60                     |              |
|         |                |                         | •  | \$8,500.00                  | \$10,346.03               | \$18,846.03  |

# 15 (a) (1) Disbursements - Monies

Monies expended in connection with activity on behalf of the United States-Japan Trade Council are as follows:

| Clipping Service Postage Telephone Travel & meeting expenses | 111.24<br>900.40<br>278.72<br>4,487.24 |
|--|--|
| Printing   | 2,803.96                               |
| Clerical & other labor                                       | 461.11                                 |
| Supplies   | 205.33                                 |
| Photography  | 705.98                                 |
| Messenger Service  | 89.74                                  |
| Equipment rental   | 229.08                                 |
| Shipping   | 73.23                                  |
| TOTAL:   | \$10,346.03                            |

July 5, 1971

14 (a) Receipts - Monies

| <u>Date</u> | From Whom                                      |    |    |      | Purpose & Amount |            | <u>Total</u> |
|-------------|--|----|----|------|------------------|------------|--------------|
|             |  |    |    |      | Fees             | Production |              |
| 1/31/71     | Consulate General of<br>Japan at San Francisco |    |    |      | \$1,100.00       |            |              |
| 2/28/71     | 11   | 11 | 11 | . 11 | 1,100.00         |            |              |
| 3/31/71     | 11   | 11 | 11 | rı . | 1,100.00         | ,          |              |
| 4/30/71     | 11   | 11 | 11 | Ħ    | 1,100.00         |            |              |
| 5/31/71     | 11   | 11 | II | 11   | 1,100.00         |            |              |
| 6/30/71     | 11   | 11 | ** | 11   | 1,100.00         | 466.00     |              |
|             |  |    |    |      | \$6,600.00       | \$ 466.00  | \$7,066.00   |

15 (a) (1) Monies expended in connection with activity on behalf of Consulate General of Japan at San Francisco:

Transportation & Travel expense \$ 466.00